

# Mapping & Analysis Of Roof Stress Distribution In An Underground Coal Mine Using FLAC 3D Software

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## ABSTRACT

This study focuses on mapping and analyzing roof stress distribution in an underground coal mine using FLAC3D, aiming to enhance mine safety and efficiency. A systematic methodology was employed, including data collection from 63 boreholes, solid modeling using SURPAC, and numerical stress analysis through FLAC3D. Key objectives included evaluating distributed loads, analyzing the impact of geological disturbances, and comparing pre- and post-development stress behaviors. Results reveal that geological disturbances significantly influence stress distribution, identifying high-risk zones requiring enhanced support systems. Pre- and post-development scenarios showcased distinct stress patterns, emphasizing the dynamic behavior of roof strata. Additionally, differences in weak and strong geological sections were mapped, guiding optimized support designs. This research contributes to improved mine planning, risk mitigation, and the advancement of numerical modeling techniques for underground mining operations.

**Keywords – Roof stress distribution, underground coal mining, FLAC3D, geological disturbances, numerical modeling.**

## I. INTRODUCTION

Underground mining operations present significant challenges related to roof stability and safety, with roof stress distribution playing a pivotal role in mine design and support system optimization. Understanding the forces acting within the overlying strata above the coal seam is critical to preventing roof collapses, mitigating risks, and enhancing operational productivity. Excessive stress concentrations in these regions can lead to hazardous roof failures, posing threats to miner safety and disrupting production schedules.

In recent years, numerical modeling tools such as FLAC3D have become essential for understanding complex geomechanical behaviors in underground mines. Previous studies have explored stress distribution patterns, the effects of geological disturbances, and the importance of robust support systems. However, gaps remain in comprehensively analyzing the dynamic interaction between pre- and post-mining stress behaviors and mapping weak versus strong geological sections within roof strata.

This study addresses these gaps by employing FLAC3D to simulate roof stress distribution in an underground coal mine. Using data from 63 boreholes, solid models were developed with SURPAC, and stress analysis was conducted to evaluate distributed loads, assess the influence of geological disturbances, and map stress variations across different geological sections.

The primary objective of this research is to improve the understanding of stress behavior within roof strata, thereby contributing to safer and more efficient underground mining practices. This paper presents the methodology, analysis, and findings of the study, which provide actionable insights for mine planning, risk assessment, and support system design.

## II. LITERATURE REVIEW

Roof stability in underground coal mining has been a critical area of research due to the risks posed by roof collapses and associated safety hazards. Several studies have focused on stress distribution, geological influences, and support system optimization to mitigate these risks.

Langland (1978) highlighted the importance of accurately representing geometry and material properties in finite-element mining models to predict roof behavior. Similarly, Mark and Barczak (2000) emphasized that the performance of roof supports depends on their compatibility with the geological and loading environment, underscoring the need for tailored support systems based on ground conditions.

Research by Palei (2006) demonstrated that roof falls account for a significant proportion of mining fatalities, particularly in India, and stressed the need for robust risk analysis models. Xu et al. (2015) used the Rock Failure Process Analysis (RFPA) model to simulate mining-induced stress redistribution, identifying distinct stress zones and their implications for mine stability.

The influence of geological disturbances, such as faults and fractures, has also been extensively studied. Geng et al. (2017) revealed how geological anomalies concentrate stress, leading to increased instability in deep coal mines. Similarly, Zhu et al. (2022) explored the effectiveness of pre-split blasting in weakening hard roofs, facilitating stress dissipation and improving roof stability.

Despite advancements in numerical modeling and monitoring technologies, challenges remain in integrating real-time data, analyzing complex geological contexts, and understanding dynamic roof behavior during mining operations. This study builds upon the existing body of knowledge by employing FLAC3D to comprehensively analyze stress distribution in pre- and post-development scenarios, with a focus on the role of geological disturbances and stratigraphic variations.

## III. PROBLEM STATEMENT

Roof stress distribution in underground coal mines is a critical factor influencing mine safety and operational efficiency. The interaction of geological disturbances, stratigraphic variations, and mining-induced stresses creates complex stress patterns within the roof strata, which, if not managed effectively, can lead to catastrophic roof collapses. Current methodologies often fail to comprehensively address these complexities, particularly in scenarios involving dynamic stress changes between pre- and post-mining operations.

Challenges in understanding and predicting roof stress distribution stem from several factors:

- 1. Geological Data Gaps:** Limited availability of detailed geological and physio-mechanical data increases uncertainties in stress analysis.
- 2. Impact of Geological Disturbances:** Faults, fractures, and stratigraphic anomalies significantly alter stress distribution but are inadequately integrated into traditional modeling techniques.
- 3. Support System Optimization:** Existing approaches struggle to account for dynamic stress redistribution, limiting the effectiveness of support designs in high-risk areas.
- 4. Validation of Numerical Models:** Many numerical models lack sufficient field validation, reducing their reliability in accurately predicting stress behavior under varying conditions.

To address these gaps, this study employs a systematic approach combining data from 63 boreholes, solid modeling with SURPAC, and advanced numerical simulation using FLAC3D. By mapping and analyzing the stress distribution in the roof strata, the study aims to enhance mine planning, optimize support system design, and reduce the risks associated with roof instability in underground coal mines.

Addressing these challenges and knowledge gaps is essential for improving the mapping and analysis of roof stress distribution in underground mines. By developing more accurate and reliable methodologies, this research aims to enhance the understanding of roof behavior, optimize support system design, and ultimately improve the safety and stability of underground mining operations.



#### IV. METHODOLOGY

This study employed a systematic approach to map and analyze roof stress distribution in an underground coal mine using FLAC3D. The methodology was divided into distinct stages, including data collection, solid modeling, numerical simulation, and stress analysis.

##### 1. Data Collection

Comprehensive geological and physio-mechanical data were collected from 63 boreholes across the mine site. The dataset included borehole IDs, depth intervals, stratigraphy, and material properties such as Young's modulus, cohesion, and tensile strength. These data were validated and formatted to ensure compatibility with numerical modeling software.

##### 2. Solid Modeling Using SURPAC

Using the borehole data, solid models of the geological strata were developed in SURPAC software. This process involved:

- Importing borehole coordinates, seam thickness, and geological properties.
- Interpolating data to create three-dimensional models of the coal seams and surrounding strata.
- Generating cross-sections and visualizations to understand the spatial distribution of geological units.

##### 3. Numerical Simulation with FLAC3D

The solid models created in SURPAC were imported into FLAC3D for stress behavior analysis. Key steps included:

- **Model Setup:** Geometry creation, mesh generation, and assignment of material properties based on physio-mechanical data.

- **Boundary Conditions:** Application of initial stress states, including vertical and horizontal stress components derived from field measurements.
- **Stress Analysis:** Simulation of pre- and post-development scenarios to evaluate stress distribution patterns, identify high-stress zones, and analyze the impact of geological disturbances.

#### 4. Sensitivity Analysis and Model Calibration

A sensitivity analysis was conducted to assess the influence of input parameters on stress distribution results. The model was calibrated using field observations to improve accuracy and reliability.

#### 5. Mapping and Visualization

Stress distribution results were visualized using contour plots and stress vectors to identify critical zones of instability. Geomodeller software was used to map weak and strong geological sections, aiding in the interpretation of stress behavior

By integrating advanced numerical modeling tools with robust geological data, this methodology provided a comprehensive framework for understanding roof stress dynamics, enabling optimized mine planning and support system design.

### V. DATA ANALYSIS & RESULT

The data analysis focused on interpreting the stress distribution within the roof strata using the borehole data, solid modeling outputs, and FLAC3D simulations. The key findings are summarized below.

#### 1. Physio-Mechanical Property Analysis

The physio-mechanical properties of the overlying strata and coal seams were analyzed based on borehole data. Key properties included Young's modulus (E), Poisson's ratio ( $\nu$ ), cohesion (C), and internal friction angle ( $\phi$ ). Table -1 highlights the material properties of sandstone, shale, and carbonaceous shale, which significantly influence roof stability.

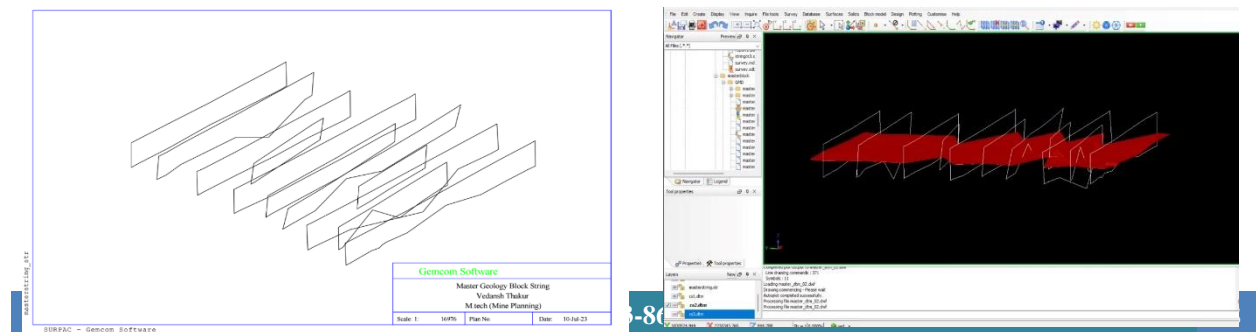
Rock Layer	Young's Modulus (GPa)	Poisson's Ratio	Cohesion (MPa)	Internal Friction Angle (degrees)
Sandstone	14.45	0.26	8.73	36
Shale	8.23	0.30	3.24	27
Carbonaceous Shale	7.93	0.28	3.0	25

#### 2. Borehole Profile Analysis

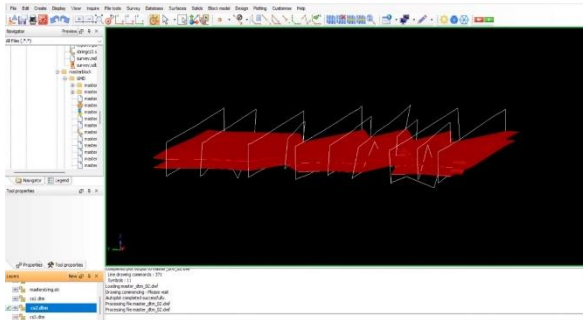
Data from 63 boreholes revealed variations in seam thickness and geological stratigraphy. This data was used to identify zones of weakness and areas influenced by geological disturbances, which were critical for modeling stress behavior.

#### 3. Solid Modeling Results

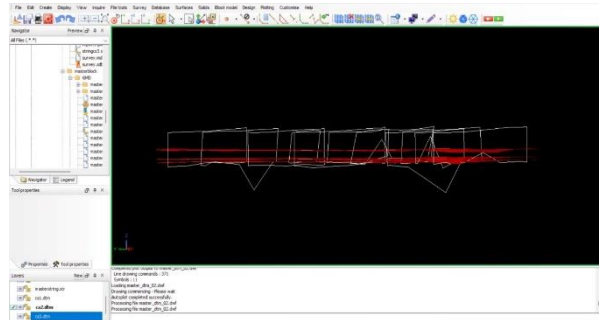
Solid models created in SURPAC accurately represented the spatial distribution of the three coal seams. Figures I–VI showcase the block model's alignment with geological features, including seam thickness and continuity.



**Fig. I Representation of the Solid Coal Seam Block String Boundary**

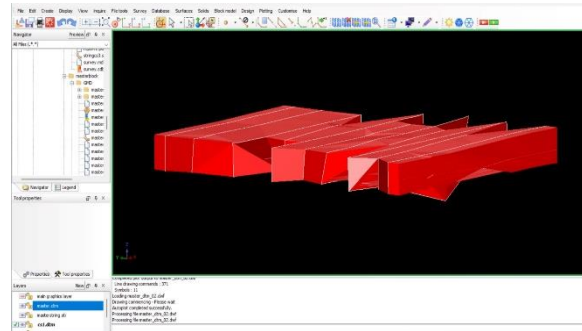


**Fig.II Representation of the Solid Coal Seam – I**



**Fig. III Representation of the Solid Coal Seam – I & II**

**Fig. IV Representation of the Solid Coal Seam – I, II & III**



**Fig. V Representation of the Solid Block Modelling of Mine Area**

**4. Stress Distribution Analysis**

FLAC3D simulations provided detailed insights into stress behavior under pre- and post-development conditions. Key results include:

• **Vertical and Horizontal Stress Distribution**

Vertical stress  $\partial v$  was calculated using the equation:

$$\partial v = \rho gh$$

Horizontal stress  $\partial h$  was derived as:

$$\partial h = \left( \frac{v}{1-v} \right) \partial v$$

The horizontal stress, represented by  $\partial h$ , is influenced by Poisson's ratio ( $v$ ) and the vertical stress ( $\sigma v$ ). Analysis revealed stress concentrations near geological disturbances and around mined-out areas.

• **Comparison of Analytical and Simulated Stress Values**

The simulated stress values closely aligned with analytical calculations, with less than 3% deviation in most cases (Table-2).

Test	Analytical Value, MPa			FLAC3D Value, MPa			Error %
	C1	C2	C3	C1	C2	C3	
Vertical Stress, Seam Level	3.065	1.27	1.36	3.034	1.25	1.34	1.0%

Horizontal Stress, Seam Level	1.250	0.44	0.34	1.221	0.42	0.33	2.3%
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### 5. Pre- and Post-Development Stress Behavior

Simulations highlighted distinct stress redistribution patterns before and after mining activities. High-stress zones were identified near faulted sections, emphasizing the need for enhanced support systems.

### 6. Mapping Weak and Strong Geological Sections

Using the **Rock Mass Rating (RMR)** and **Hoek-Brown Criterion**, weak and strong zones within the roof strata were mapped. These zones were visualized through contour plots generated by FLAC3D, aiding in the identification of high-risk areas. Figures VI, VII and VIII illustrate stress distribution across the mine site. High-stress regions corresponded to geological anomalies and mined-out zones, highlighting the critical need for targeted support.

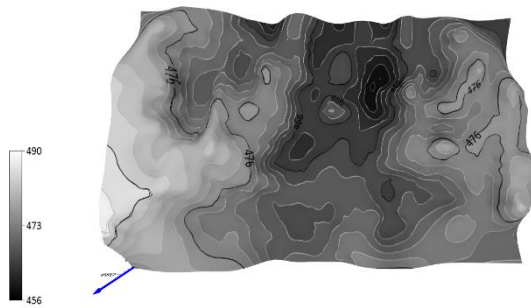


Fig. VI Topographical Contour Preview of Site

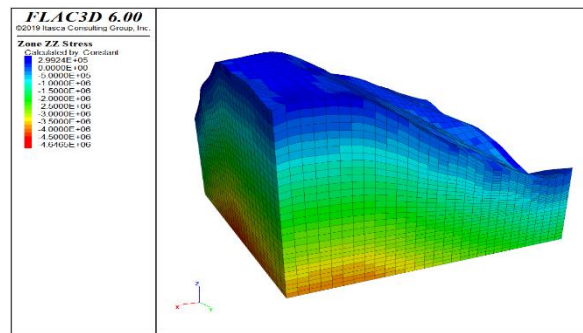


Fig. VII - Effect of Stress on Topography

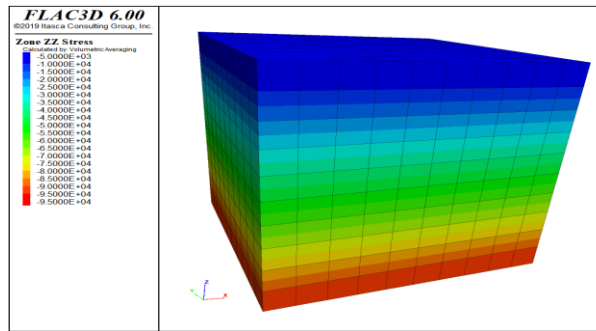


Fig. 4.9 Effect of Stress on Block Model

### 8. Sensitivity Analysis

Sensitivity analysis demonstrated that changes in material properties, such as cohesion and friction angle, significantly influenced stress distribution. This finding underscores the importance of accurate material characterization for reliable modelling.

## VI. CONCLUSION

This study provides a comprehensive analysis of roof stress distribution in an underground coal mine using FLAC3D, enhancing the understanding of stress behavior in the overlying strata. The methodology, combining borehole data, solid modeling with SURPAC, and numerical simulations, yielded valuable insights into stress patterns and the impact of geological disturbances. The following conclusions can be drawn from the study:

**Impact of Geological Disturbances:** Geological anomalies, such as faults and fractures, significantly affect stress distribution in the roof strata. These disturbances lead to increased stress concentrations, especially in regions adjacent to mining activities. Identifying and mapping these disturbances is crucial for assessing potential hazards and optimizing support systems.

**Pre- and Post-Development Stress Behavior:** The study demonstrated that roof stress patterns exhibit distinct variations between pre- and post-development scenarios. Mining activities induce significant stress redistribution, with high-stress zones emerging near excavated areas. This dynamic behavior underscores the need for adaptive support strategies that account for the evolving stress conditions during mining operations.

**Strata Distribution and Support System Design:** The analysis of weak and strong geological sections revealed significant differences in stress behavior. Mapping these sections is essential for designing targeted support systems that can effectively mitigate risks in high-stress areas. The findings of this study provide actionable insights for the design and implementation of optimized roof support systems in underground mines.

**Numerical Modeling and Mine Safety:** The use of FLAC3D for numerical stress analysis proved to be an effective tool for understanding the complex interactions between geological features and mining-induced stresses. The simulation results, validated by field observations, provide a reliable basis for improving mine planning, risk assessment, and safety measures.

**Contributions and Future Work:** This research contributes to the body of knowledge on roof stress distribution and its implications for underground mining. The insights gained will aid in improving mine safety, optimizing support designs, and guiding future research in numerical modeling and geological risk management. Further studies incorporating real-time monitoring data and long-term stability assessments could enhance the accuracy of stress predictions and support system effectiveness.

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